

Comparative Report on SEPs, Injunctive Relief, and Penalties for Fiscal Years 1992-1998

	1992	1993	1994	1995	1996	1997	1998
<u>SUPPLEMENTAL ENV. PROJECTS</u>							
Total Number	32	52	36	63	31	59	49 (39 cases)
Total Value (\$)	2,860,000	42,800,000	5,399,000	14,362,170	17,262,086	11,464,147	13,963,633
Average Value of SEP (\$)	89,375	823,076	149,972	227,970	556,841	194,308	284,972
No. with value ≥ \$100,000	8 (25%)	21 (40%)	13 (36%)	31 (49%)	12 (39%)	26 (44%)	29 (59%)
No. with value ≥ \$1,000,000	0 (0%)	5 (10%)	1 (3%)	3 (5%)	1 (3%)	3 (5%)	2 (4%)
No. Pollution Prevention SEPs	1 (3%)	20 (38%)	6 (17%)	42 (67%)	11 (35%)	39 (66%)	28 (57%)
Value of penalties in SEP cases	222,000	6,842,000	1,548,000	7,601,349	1,295,758	3,661,751	7,166,432
No. cases in any GI	not available	29 (56%)	23 (64%)	40 (63%)	16 (52%)	47 (80%)	34 (69%)
No. cases in GLB	17 (53%)	28 (54%)	21 (58%)	40 (63%)	13 (42%)	42 (71%)	28 (57%)
NWI	not available	not available	not available	6	1	4	2
GAT	1	not available	2	0	0	0	2
TRI	not available	1	0	0	1	0	0
SEMI	1	4	6	6	4	5	6
CLE	not available	not available	not available	3	0	2	2
SECH/GMC (GC)	not available	not available	not available	4	2	7	5
UM	not available	not available	not available	not available	not available	19	15
<u>INJUNCTIVE RELIEF</u>							
Total Number	41	89	63	80	127	162	208
Total Value (\$)	286,000,000	756,000,000	141,073,000	340,285,000	191,426,000	118,673,000	273,302,127
Average Value (in millions of \$)	6.98	8.49	2.23	4.25	1.50	0.73	2.73
No. cases in any GI	not available	48 (54%)	38 (60%)	40 (50%)	73 (57%)	111 (69%)	155 (75%)
No. cases in GLB initiative	3 (7%)	47 (53%)	34 (54%)	39 (49%)	66 (52%)	67 (41%)	122 (59%)
NWI	not available	not available	not available	1	8	10	5
GAT	3	not available	not available	0	0	8	1
TRI	1	1	4	2	2	1	1
SEMI	2	7	4	5	5	8	17
CLE	not available	not available	not available	2	2	4	10
SECH/GMC (GC)	not available	not available	not available	2	14	14	17
UM	not available	not available	not available	not available	not available	63	66
<u>CIVIL AND CRIMINAL PENALTIES</u>							
Total Number of Cases	182	220	279	312	174	353	243
Total Number of Judicial Cases	30	45	37	33	21	24	21
Total Number of Admin. Cases	147	173	236	278	148	308	214
Total Value (\$)	14,378,026	31,530,415	44,819,851	25,208,197	8,804,994	18,777,653	18,700,494
Average Penalty	79,000	143,320	160,645	80,796	50,603	53,194	76,018
No. cases in any GI	not available	not available	not available	not available	not available	not available	207 (84%)
No. cases in GLB initiative	not available	not available	not available	not available	not available	not available	146 (59%)
NWI	not available	not available	not available	not available	not available	not available	4
<i>(Continued next page)</i>							
GAT	not available	not available	not available	not available	not available	not available	7

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	1992	1993	1994	1995	1996	1997	1998
TRI	not available	not available	not available	not available	not available	not available	0
SEMI	not available	not available	not available	not available	not available	not available	20
CLE	not available	not available	not available	not available	not available	not available	12
SECH/GMC (GC)	not available	not available	not available	not available	not available	not available	27
UM	not available	not available	not available	not available	not available	not available	97

Notes.

1. For those cases which provided dollar ranges (for example, the cost of a SEP was \$1-3 million), the lower value was used.
2. "Pollution prevention projects" refers to those projects involving, but not necessarily limited to, pollution prevention.
3. Values of SEPs and IRs are approximate due to incomplete and/or unavailable information (these values reflect only those cases for which costs were determined).
4. The low total value of injunctive relief for FY96 is due in part to a high number of cases for which the cost of injunctive relief was unknown. Figures for FY97 and FY98 also suffer from this problem.
5. "Not available" refers to information which was not provided for these years.
6. Injunctive relief data for FY92 reflect only judicial cases, not administrative. This should be remembered when interpreting trends data.
7. Certain values for FY92, 93 and 94 differ from those found in previous reports; this is due to updates and more thorough reviews of source information.
8. GLB cases are not part of any official Region 5 geographic initiative. Rather, these cases are tracked in the same manner as official initiative cases because, similar to the geographic initiative cases, GLB cases represent Region 5's general efforts to secure environmental cleanup and protection within a specific geographic region.
9. The Upper Mississippi (UM) geographic initiative was added in FY97. As a result, data for previous years are unavailable.
10. The SECH/GMC geographic initiatives were combined in FY97 into one initiative, Greater Chicago (GC).
11. The large number of injunctive relief cases in FY97 and FY98 is attributable in part to an expanded definition of "injunctive relief." Permit compliance orders, site access orders, removal orders, wetlands restoration orders, and WWTP orders were included in FY97's report, but not in the reports of prior years.
12. For more detailed penalty information, consult the Comparative Report on Civil and Criminal Penalties, FY92-98.